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**FEES TRANSMITTAL  
FY 2002**

Complete if Known

Application Serial Number 10/040,278  
 Filing Date October 24, 2001  
 First Named Inventor Colton et al.  
 Group Art Unit Not yet assigned  
 Examiner Name Not yet assigned  
 Attorney Docket No. MIT-097CN

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**METHOD OF PAYMENT**

1.  Payment Enclosed:  
 Check  Money Order  Other

2.  The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.

Required Fees (copy of this sheet enclosed).  
 Additional fee required under 37 CFR 1.16 and 1.17.  
 Overpayment Credit.

3.  Applicant claims small entity status.

**FEE CALCULATION****1. FILING FEE****Large Entity**

Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	740.00
330	Design filing fee	
160	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
27	- 20 = 7	x \$ 18.00 =	126.00

Total Claims 27 - 20 = 7 x \$ 18.00 = 126.00

Independent Claims 2 - 3 = 0 x \$ 84.00 = 00.00

Multiple Dependent Claim(s), if any \$280.00 =

**TOTAL:** 866.00  
**SMALL ENTITY DISCOUNT:**  
**SUBTOTAL (1)** (\$ 866.00)

**2. AMENDMENT CLAIM FEES**

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x \$ 18.00 =	
Indep.	-	=	x \$ 84.00 =	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$280.00 =	

**TOTAL:** (\$  
**SMALL ENTITY DISCOUNT:**  
**SUBTOTAL (2)** (\$ 00.00)

**SUBTOTAL (3)** (\$ 130.00)

**SUBTOTAL (1)** 866.00  
**SUBTOTAL (2)** 00.00  
**SUBTOTAL (3)** 130.00

**TOTAL** (\$ 966.00)**CORRESPONDENCE ADDRESS**

Direct all correspondence to:

Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Respectfully submitted,

*Ronda P. Moore, D.V.M.*  
 Ronda P. Moore, D.V.M.  
 Attorney for the Applicants  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110



PATENT  
Attorney Docket No. MIT-097CN  
(5473/104)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Colton et al.  
SERIAL NO.: 10/040,278 GROUP NO.: Not yet assigned  
FILING DATE: October 24, 2001 EXAMINER: Not yet assigned  
TITLE: METHOD AND APPARATUS FOR DELIVERING OXYGEN TO CELLS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed February 12, 2002 for the above-referenced patent application, enclosed herewith is a check in the amount of \$966.00 to cover the filing fees and surcharge fee. Also enclosed are 11 sheets of formal drawings corresponding to FIGS. 1-9, 10A-10B and 11.

Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted,

Date: March 29, 2002  
Reg. No. 44,244

Tel. No. (617) 248-7044  
Fax: (617) 248-7100

*Ronda P. Moore, D.V.M.*  
Ronda P. Moore, D.V.M.  
Attorney for Applicants  
Testa, Hurwitz, & Thibeault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

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PATENT  
Attorney Docket No. MIT-097CN

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Colton et al.  
 SERIAL NO.: 10/040,278 GROUP NO.: Not yet assigned  
 FILING DATE: October 24, 2001 EXAMINER: Not yet assigned  
 TITLE: METHOD AND APPARATUS FOR DELIVERING OXYGEN TO CELLS

***CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8***

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on this 29th day of March, 2002.

*Julie Westhaver*  
Julie Westhaver

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

Sir:

MAY 03 2002

Submitted herewith is/are:

**Technology Center 2600**

Transmittal Form (1 pg.); Fee Transmittal (1 pg.); Response to Notice to File Missing Parts (1 pg.); Copy of Notice to File Missing Parts (2 pgs.); Transmittal of Formal Drawings (1 pg.); 11 Sheets of Formal Drawings Corresponding to FIGS. 1-9, 10A-10B and 11; Check in the Amount of \$966.00; and postcard.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,278	10/24/2001	Clark K. Colton	MIT-097CN

021323  
 TESTA, HURWITZ & THIBEAULT, LLP  
 HIGH STREET TOWER  
 125 HIGH STREET  
 BOSTON, MA 02110



## CONFIRMATION NO. 9671

## FORMALITIES LETTER



\*OC000000007462631\*

Date Mailed: 02/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/11/2002 MBERHE 00000091 10040278

## FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00
02 FC:105	130.00
03 FC:103	126.00

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$126.
  - \$126 for 7 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 996.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

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*PP*  

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PATENT  
Attorney Docket No. MIT-097CN  
(5473/104)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Colton et al.

SERIAL NO.: 10/040,278 GROUP NO.: Not yet assigned

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Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Responsive to the Notice to File Missing Parts mailed from the U.S. Patent Office on February 12, 2002, enclosed please find 11 sheets of formal drawings corresponding to FIGS. 1-9, 10A-10B and 11 for the above-referenced patent application.

Respectfully submitted,

Date: March 29, 2002  
Reg. No. 44,244

Tel. No.: (617) 248-7044  
Fax No.: (617) 248-7100

Ronda P. Moore, D.V.M.  
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